

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on May 13, 2002.

Frank C. Eisenschenk

Frank C. Eisenschenk, Ph.D., Patent Attorney

INFORMATION DISCLOSURE STATEMENT
Examining Group 1614
Patent Application
Docket No. UF-260XC1
Serial No. 09/997,447

RECEIVED
1614
EX-20
MAY 20 2002
TECH CENTER 1600/2900

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner : (Unassigned)
Art Unit : 1614
Applicant(s) : Nathan Andrew Shapira, Mary Catherine Lessig, Daniel John Driscoll
Serial No. : 09/997,447
Filed : November 30, 2001
Conf. No. : 3440
For : Treatments for Neurogenetic Disorders, Impulse Control Disorders, and Wound Healing

Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§ 1.97 AND 1.98

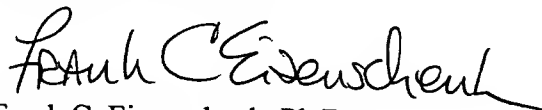
Sir:

In accordance with 37 C.F.R. § 1.56, the references listed on the attached form PTO/SB/08 are being brought to the attention of the Examiner for consideration in connection with the examination of the above-identified patent application. Copies of the cited documents are enclosed.

It is respectfully requested that the Examiner indicate consideration of the cited references by returning a copy of the attached form PTO/SB/08 with initials or other appropriate marks. If any additional fee is required, or to credit any overpayment, please use Deposit Account No. 19-0065.

Applicants respectfully assert that the substantive provisions of 37 C.F.R. §§ 1.97 and 1.98 are met by the foregoing statement.

Respectfully submitted,



Frank C. Eisenschenk, Ph.D.

Patent Attorney

Registration No. 45,332

Phone No.: 352-375-8100

Fax No.: 352-372-5800

Address: 2421 N.W. 41st Street, Suite A-1
Gainesville, FL 32606-6669

FCE/jaj

Attachments: Form PTO/SB/08 (3 pages)
79 references listed thereon

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Complete if Known

| | |
|------------------------|-----------------------|
| Application Number | 09/997,447 |
| Filing Date | November 30, 2001 |
| First Named Inventor | Nathan Andrew Shapira |
| Art Unit | 1614 |
| Examiner Name | (Unassigned) |
| Attorney Docket Number | UF-260XC1 |

| | | | |
|-------|---|----|---|
| Sheet | 1 | of | 3 |
|-------|---|----|---|

U.S. PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Document Number Number - Kind Code ² (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|--------------------|-----------------------|---|--------------------------------|--|---|
| | U1 | US-RE36,370 | 11-02-1999 | Li | All |
| | U2 | US-4,513,006 | 04-23-1985 | Maryanoff et al. | All |
| | U3 | US-5,384,327 | 01-24-1995 | Costanzo et al. | All |
| | U4 | US-5,498,629 | 03-12-1996 | Costenzo et al. | All |
| | U5 | US-5,507,775 | 04-16-1996 | Ger et al. | All |
| | U6 | US-5,512,041 | 04-30-1996 | Bogart | All |
| | U7 | US-5,512,291 | 04-30-1996 | Li | All |
| | U8 | US-5,519,020 | 05-21-1996 | Smith et al. | All |
| | U9 | US-5,520,926 | 05-28-1996 | Ferguson | All |
| | U10 | US-5,522,794 | 06-04-1996 | Ewall | All |
| | U11 | US-5,525,335 | 06-11-1996 | Kitahara et al. | All |
| | U12 | US-5,571,521 | 11-05-1996 | Lasker | All |
| | U13 | US-5,578,022 | 11-26-1996 | Scherson et al. | All |
| | U14 | US-5,578,310 | 11-26-1996 | M'Timkulu et al. | All |
| | U15 | US-5,602,183 | 02-11-1997 | Martin et al. | All |
| | U16 | US-5,603,946 | 02-18-1997 | Constantine | All |
| | U17 | US-5,610,148 | 03-11-1997 | Brown | All |
| | U18 | US-5,614,561 | 03-25-1997 | Martin | All |
| | U19 | US-5,629,292 | 05-13-1997 | Rodgers et al. | All |
| | U20 | US-5,631,019 | 05-20-1997 | Marx | All |
| | U21 | US-5,632,727 | 05-27-1997 | Tipton et al. | All |
| | U22 | US-5,633,285 | 05-27-1997 | Martin | All |
| | U23 | US-5,641,814 | 06-24-1997 | Martin | All |
| | U24 | US-5,646,190 | 07-08-1997 | Martin | All |
| | U25 | US-5,648,380 | 07-15-1997 | Martin | All |
| | U26 | US-5,652,274 | 07-29-1997 | Martin | All |
| | U27 | US-5,654,461 | 08-05-1997 | Choi et al. | All |
| | U28 | US-5,658,956 | 08-19-1997 | Martin et al. | All |
| | U29 | US-5,658,957 | 08-19-1997 | Martin | All |
| | U30 | US-5,662,904 | 09-02-1997 | Ferguson et al. | All |

FOREIGN PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁵ (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T ⁶ |
|--------------------|-----------------------|--|--------------------------------|--|---|----------------|
| | F1 | | | | | |
| | F2 | | | | | |

Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Complete if Known

| | |
|------------------------|-----------------------|
| Application Number | 09/997,447 |
| Filing Date | November 30, 2001 |
| First Named Inventor | Nathan Andrew Shapira |
| Art Unit | 1614 |
| Examiner Name | (Unassigned) |
| Attorney Docket Number | UF-260XC1 |

Sheet

2

of

3

U.S. PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Document Number Number - Kind Code ² (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|--------------------|-----------------------|---|--------------------------------|--|---|
| | U31 | US-5,662,924 | 09-02-1997 | Rhodes | All |
| | U32 | US-5,663,208 | 09-02-1997 | Martin | All |
| | U33 | US-5,667,501 | 09-16-1997 | Fowler et al. | All |
| | U34 | US-5,674,912 | 10-07-1997 | Martin | All |
| | U35 | US-5,685,834 | 11-11-1997 | Barth | All |
| | U36 | US-5,692,302 | 12-02-1997 | Martin et al. | All |
| | U37 | US-5,705,477 | 01-06-1998 | Spom et al. | All |
| | U38 | US-5,707,647 | 01-13-1998 | Dunn et al. | All |
| | U39 | US-5,713,842 | 02-03-1998 | Kay | All |
| | U40 | US-5,716,337 | 02-10-1998 | McCabe et al. | All |
| | U41 | US-5,716,935 | 02-10-1998 | Rodgers et al. | All |
| | U42 | US-5,735,812 | 04-07-1998 | Hardy | All |
| | U43 | US-5,759,570 | 06-02-1998 | Arnold | All |
| | U44 | US-5,780,048 | 07-14-1998 | Lee | All |
| | U45 | US-5,804,213 | 09-08-1998 | Rolf | All |
| | U46 | US-5,807,300 | 09-15-1998 | Nix, Jr. | All |
| | U47 | US-5,807,341 | 09-15-1998 | Heim | All |
| | U48 | US-5,834,432 | 11-10-1998 | Rodgers et al. | All |
| | U49 | US-5,856,245 | 01-05-1999 | Caldwell et al. | All |
| | U50 | US-5,856,364 | 01-05-1999 | Martin | All |
| | U51 | US-5,863,938 | 01-26-1999 | Martin | All |
| | U52 | US-5,874,479 | 02-23-1999 | Martin | All |
| | U53 | US-5,876,743 | 03-02-1999 | Ibsen et al. | All |
| | U54 | US-5,892,088 | 04-06-1999 | Choi et al. | All |
| | U55 | US-5,897,516 | 04-27-1999 | Kadash et al. | All |
| | U56 | US-5,902,600 | 05-11-1999 | Woller et al. | All |
| | U57 | US-5,914,125 | 06-22-1999 | Andrews et al. | All |
| | U58 | US-5,955,430 | 09-21-1999 | Rodgers et al. | All |
| | U59 | US-5,960,795 | 10-05-1999 | Schultz | All |
| | U60 | US-5,968,001 | 10-19-1999 | Freeman | All |

FOREIGN PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁵ (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T ⁶ |
|--------------------|-----------------------|--|--------------------------------|--|---|----------------|
| | F1 | | | | | |
| | F2 | | | | | |

Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

3

of

3

Complete if Known

| | |
|------------------------|-----------------------|
| Application Number | 09/997,447 |
| Filing Date | November 30, 2001 |
| First Named Inventor | Nathan Andrew Shapira |
| Art Unit | 1614 |
| Examiner Name | (Unassigned) |
| Attorney Docket Number | UF-260XC1 |

U.S. PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Document Number Number - Kind Code* (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|--------------------|-----------------------|---|--------------------------------|--|---|
| | U61 | US-5,972,332 | 10-26-1999 | Rees et al. | All |
| | U62 | US-5,977,428 | 11-02-1999 | Bozigian et al. | All |
| | U63 | US-5,981,606 | 11-09-1999 | Martin | All |
| | U64 | US-5,989,577 | 11-23-1999 | Hoath et al. | All |
| | U65 | US-5,998,692 | 12-07-1999 | Gilding | All |
| | U66 | US-6,022,556 | 02-08-2000 | Hardy | All |
| | U67 | US-6,025,150 | 02-15-2000 | Livant | All |
| | U68 | US-6,033,684 | 03-07-2000 | Norcia | All |
| | U69 | US-6,051,249 | 04-18-2000 | Samuelson | All |
| | U70 | US-6,071,537 | 06-06-2000 | Shank | All |
| | U71 | US-6,087,549 | 07-11-2000 | Flick | All |
| | U72 | US-6,093,388 | 07-25-2000 | Ferguson | All |
| | U73 | US-6,096,709 | 08-01-2000 | Rodgers et al. | All |
| | U74 | US-6,124,273 | 09-26-2000 | Drohan et al. | All |
| | U75 | US-6,132,759 | 10-17-2000 | Schacht et al. | All |
| | U76 | US-6,136,341 | 10-24-2000 | Petito | All |
| | U77 | US-6,142,982 | 11-07-2000 | Hunt et al. | All |
| | U78 | US-6,143,037 | 11-07-2000 | Goldstein et al. | All |
| | U79 | US-6,323,236 | 11-27-2001 | McElroy | All |
| | U80 | | | | |
| | U81 | | | | |
| | U82 | | | | |
| | U83 | | | | |
| | U84 | | | | |
| | U85 | | | | |
| | U86 | | | | |
| | U87 | | | | |
| | U88 | | | | |
| | U89 | | | | |
| | U90 | | | | |

FOREIGN PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁵ (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T ⁶ |
|--------------------|-----------------------|--|--------------------------------|--|---|----------------|
| | F1 | | | | | |
| | F2 | | | | | |

Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.